

Declaration For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Chromium(VI)-Free Conversion Layer and Method for Producing It

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as United States Application No. or PCT International Application Number _____ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

PCT/DE97/00800

PCT

18 April 1997

☐

(Number)

(Country)

(Day/Month/Year Filed)

196 15 664.5

Germany

19 April 1996

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FILED 20 MAR 2000

Full name of sole or first inventor Patricia Preikschat	
Sole or first inventor's signature <i>P. Preikschat</i>	Date 12.03.1999
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Citizenship Germany	
Post Office Address Same as above	

Full name of second inventor, if any Rolf Jansen	
Second inventor's signature <i>Dr. Rolf J.</i>	Date 12.03.1999
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Citizenship Germany	
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Full name of third inventor, if any Peter Hulser	
Third inventor's signature <i>Dr. Peter H.</i>	Date 12.3.99
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Post Office Address Same as above	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: P. Preikschat et al. : Art Unit:
Serial No.: To Be Assigned : Examiner:
Filed: Herewith :
For: **CHROMIUM(VI)-FREE CONVERSION LAYER
AND METHOD FOR PRODUCING IT**

POWER OF ATTORNEY BY ASSIGNEE UNDER RULE 32

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

The undersigned assignee of the entire interest in the above-identified patent application hereby appoints the following attorneys and/or agents, Viviana Amzel, Esq., Reg. No. 30,930; Jeffrey F. Craft, Esq., Reg. No. 30,044; Edward G. Poplawski, Esq., Reg. No. 33,439; and Mark E. Hankin, Esq., Reg. No. 38,908, to prosecute and transact all business in the US Patent and Trademark Office relating to this application, this appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of Rule 32 of the Patent Office Rules of Practice.

Please send all future correspondence, and direct all telephone calls to:

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Assignee: SurTec Produkte und System für die
Oberflächenbehandlung GmbH

Signature: Patricia Preikschat Date: 12.03.1999

Name: Patricia Preikschat

Title: Managing Director

power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Charles B. Gordon, Reg. No. 16923
William C. McCoy, Reg. No. 16885
Richard H. Dickinson, Jr., Reg. No. 18622
Thomas P. Schiller, Reg. No. 20677
David B. Deiloma, Reg. No. 22841
Joseph J. Corso, Reg. No. 25845
Howard G. Shimola, Reg. No. 26232

Jeffrey J. Sopko, Reg. No. 27676
John P. Murtaugh, Reg. No. 34226
James M. Moore, Reg. No. 32923
David B. Spaw, Reg. No. 34732
Michael W. Garvey, Reg. No. 35878
Paul R. Katterle, Reg. No. 36563
Richard M. Mescher, Reg. No. 38242
M. David Galin, Reg. No. 41767

all having the above designated address.

An executed Certificate Under 37 CFR 3.73(b) is attached hereto and incorporated herein by reference.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made herein on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SURTEC PRODUKTE UND SYSTEM FUR DIE
OBERFLACHENBEHANDLUNG GMBH

By Patricia Preikschat
[signature]
Print Name: Patricia Preikschat
Print Title: Technical Director General Manager

Date: 11.05.1999

CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: Patricia Preikschat, Rolf Jansen and Peter Hülser

Application No.: 09/171,558 Filed: October 19, 1998

For: CHROMIUM(VI)-FREE CONVERSION LAYER AND METHOD FOR PRODUCING IT

SurTec Produkte und System für die Oberflächenbehandlung GmbH, a for profit organization chartered under the laws of the Federal Republic of Germany

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : 11. May 1999

Name : Patricia Preikschat

Title : ~~Technical Director~~ General Manager

Signature: Patricia Preikschat